Docket No.: 4554-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Application of

SHITAKA KITAO

U.S. Patent Application No. 09/890,171

Filed: July 26, 2001

For:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. Also, enclosed is a copy of the Office Action mailed on June 27, 2003 and cited references in the corresponding Korean patent application. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

Respectfully submitted,

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Date: August 1, 2003

**PATENT** 

ent Application No. 09/890,1/1

fully 26, 2001 : Examine.

METHOD OF AND APPARATUS FOR PROCESSING TRANSACTION
INFORMATION, TERMINAL UNIT FOR PERFORMING TRANSACTION AND
RECORDING MEDIUM

THATION DISCLOSURE STATEMENT

GROUP 3600

3600

| INFORMATION DISCLOSURE OIPE CITATION IN AN |   |  |  | ATTY. DOCKET NO.<br>4554-003     |                           | U.S. PATENT<br>APPLICATION NO.<br>09/890,171 |                |           |
|--|---|--|--|----------------------------------|---------------------------|--|----------------|-----------|
| ζ <u>)</u>                                 | CITATION IN AN  APPLICATION   |  |  | APPLICANT<br>KITAO, YOSHITAKA    |                           |  |                |           |
| 23 0 1 <b>2003</b>                         | (PTO-1  | ·  |  | FILING DATE July 26, 2001        |                           | GROUP <b>2167</b>                            |                |           |
| RADEMARK                                   |   | U.S. P   | ATENT DO                               | CUMENTS                          |                           |  |                |           |
| EXAMINER'S<br>INITIALS                     | PATENT NO.  |  |  | NAME CLA                         |                           | SUBCLASS                                     | FILING<br>DATE |           |
|  | 4,903,201   | February 20,<br>1990                                   | Susai                                  | n W. Wagner                      |                           |  | -              |           |
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|  |   | FORFIG   | N PATENT                               | DOCUMENTS                        |                           |  |                |           |
| EXAMINER'S                                 | PATENT NO. DATE   |  |  |                                  |                           | SUBCLASS                                     | Translation    |           |
| INITIALS                                   | PATENT NO.  | DATE   |  |                                  | CLASS                     | 30502.103                                    | Yes            | No        |
|  |   |  |  |                                  |                           |  |                |           |
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|  |   | ER ART (Includin                                       | 1                                      | 912333923 15.222                 | nt Bogos, F               |  |                |           |
|  | PCT Internations FOR PROCESSI Publication date:   | al Application Pub<br>ING AND TRANS<br>October 9, 1997 | g Author, 1<br>blication No<br>MITTING | : WO 97/37315; F<br>ELECTRONIC A | isher et al "<br>UCTION I | METHOD AN<br>NFORMATIO                       | D SYS<br>N"    | TEM       |
|  | OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)  PCT International Application Publication No: WO 97/37315; Fisher et al "METHOD AND SYSTEM FOR PROCESSING AND TRANSMITTING ELECTRONIC AUCTION INFORMATION" Publication date: October 9, 1997  PCT International Application Publication No: WO 90/1157; BELDEN et al "SIMULA PETAGE" MARKET TRADING SYSTEM"; Publication date: October 4, 1990  DATE CONSIDERED |  |  |                                  |                           |  |                |           |
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| EXAMINER                                   |   |  | I                                      | DATE CONSIDERED                  |                           |  | <del></del>    |           |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.